

Dynamic vegetation modelling and applications with CARAIB

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OUTLINE

- 1) Introduction
- 2) The CARAIB model
- 3) Example of results and model validation
- 4) Interannual variability
- 5) Climate change impacts on ecosystems
- 6) Integrating crops and ecosystem services (VOTES)
- 7) Conclusions & perspectives: towards an integrated tool for upscaling

Introduction

VEGETATION DISTRIBUTION MODELS (biomes, species)

- equilibrium biome models (Box, 1981; Prentice et al. 1992)
- forest succession models (gap models)
- niche-based models (Thuiller et al., 2005)
- species dispersal models

BIOGEOCHEMICAL MODELS (NPP, NEE, biomass, soil C)

- photosynthesis models (Farqhuar et al., 1980)
- terrestrial biosphere models (Potsdam 1995 models)

(BIO)PHYSICAL MODELS

- (energy exchange, water cycle)
- « bucket models »
- SVAT (GCM surface schemes)

Dynamic vegetation models

(DVM) are complex tools that can describe the response of vegetation (plant functional types or species) to climate change.

DYNAMIC (GLOBAL) VEGETATION MODEL, D(G)VM - soil hydrology - vegetation physiology - vegetation phenology - carbon budget - nutrient budgets - vegetation dynamics (species establishment, competition & mortality) - disturbances (fires, storms, grazing) - trace gas emission (VOC) - ecosystem management - ecosystem goods and services (EGS)

The CARAIB Model

CARAIB Dynamic Vegetation Model







PHOTOSYNTHETIC ASSIMILATION MODEL (Farquhar et al., 1980)

$$A_1 = \frac{J(I_{APAR}, J_{\max})}{4} \cdot \frac{c_i - \Gamma_*}{c_i + 2\Gamma_*}$$

(limitation par le transport d' électrons → réactions claires)

$$A_2 = V_{c,\max} \cdot \frac{c_i - \Gamma_*}{c_i + K_c (1 + \frac{O_2}{K_o})}$$

 $A = \min(A_1, A_2)$

(limitation par la Rubisco → réactions sombres)

(assimilation photosynthétique brute)

avec:

 $\Gamma_* = 0.21 \text{ K}_c \text{ O}_2/(2 \text{ K}_o) = \text{compensation point in the absence of dark} \\ \text{respiration} \\ \text{c}_i, \text{ O}_2 = \text{intercellular concentrations in CO}_2 \text{ and O}_2 \\ \text{K}_c, \text{ K}_o = \text{Michaelis-Menten constants for CO}_2 \text{ (carboxylation)} \\ \text{and O}_2 \text{ (photorespiration)} \\ \text{J}(\text{I}_{\text{APAR}}, \text{J}_{\text{max}}) = \text{potential rate of electron transport (depends on absorbed PAR radiation I}_{\text{APAR}} \text{ and limited to J}_{\text{max}}) \\ \text{Vc,max} = \text{Rubisco maximum synthesis capacity (dep. on T, C/N, SLA)}$

STOMATAL REGULATION AND CO₂ BUDGET OF THE LEAF



 $g c_a = g c_i + A_n$

Net assimilation (μmol C m_{leaf}⁻² s⁻¹):

 $A_n = A - R_d$

Dark respiration (mitochondria):

 $R_d = R_d (T, C/N)$

Conductances and resistances:

Conductance: $g^{-1} = g_b^{-1} + g_s^{-1}$ **Resistance:** $r = r_b + r_s$



 $g_s = g_0 + g_1 \cdot \Theta_{strs} \cdot A_n / [(c_a - \Gamma) \cdot (1 + VPD/VPD_0)]$

→ a 3rd degree polynomial equation is obtained which can be solved analytically or numerically with the Newton-Raphson method

LEAF WATER FLUX: TRANSPIRATION

Transpiration flux per square meter of leaf:

 $E_{tr} = g_{H2O} (e_{sat}(T) - e_a)/RT$

Conductance with respect to water:

$$g_{H2O}^{-1} = r_{H2O} = r_{b,H2O} + r_{s,H2O}$$

$$r_{b,H2O} = r_b / 1.37 + r_s / 1.6$$

Canopy Leaf Intercellular
air boundary Intercellular
medium
$$r_{b,H20}$$
 $r_{s,H20}$
 $r_{b,H20}$ $r_{s,H20}$
 e_a e_s $e_i = e_{sat}tT$
 e_a e_s $e_i = e_{sat}tT$
 c_a c_s c_i
Stomatal cavity



The allocation scheme in CARAIB



AUTOTROPHIC RESPIRATION

Maintenance respiration

- proportional to carbon content of reservoir
- temperature-dependent

Growth respiration

20% of input flux of reservoir

- S = fraction of photosynthetic products allocated to leaves, varies with phenological phase
- r = fixed ratio of roots in the structural reservoir (*a posteriori*, not dynamic) calculated from fixed root:shoot ratio for each species

The allocation scheme in CARAIB



RESERVOIRS AND FLUXES (for each PFT/BAG)



GPP = Gross Primary Productivity (photosynthesis) **R**_a = Autotrophic respiration (plant respiration) **NPP = Net Primary Productivity** = GPP - R_a **R**_h = Heterotrophic respiration (animals, fungi, bacteria) **NEE = Net Ecosystem Exchange** $= R_h - NPP = R_h + R_a - GPP$ **NEP = Net Ecosystem Productivity** = NPP - R_b = - NEE **NBP** = Net Biome Productivity = NEP - D - F - H **D** = losses through rivers F = losses due to fires

H = harvest losses

Plant Functional Types (PFTs)

- photosynthesis & growth calculated for all PFTs
- stress/germination parameters evaluated from present-day distribution
- competition between PFTs to fill gaps produced by natural or stress-induced mortality

Global Classification

- 1. C3 herbs (humid)
- 2. C3 herbs (dry)
- 3. C4 herbs
- 4. Broadleaved summergreen arctic shrubs
- 5. Broadleaved summergreen boreal/temperate cold shrubs
- 6. Broadleaved summergreen temperate warm shrubs
- 7. Broadleaved evergreen boreal/temperate cold shrubs
- 8. Broadleaved evergreen temperate warm shrubs
- 9. Broadleaved evergreen xeric shrubs
- 10. Subdesertic shrubs
- 11. Tropical shrubs
- 12. Needleleaved evergreen boreal/temperate cold trees
- 13. Needleleaved evergreen temperate cool trees
- 14. Needleleaved evergreen supra-mediterranean trees
- 15. Needleleaved evergreen meso-mediterranean trees
- 16. Needleleaved evergreen subtropical trees
- 17. Needleleaved summergreen boreal/temperate cold trees
- 18. Needleleaved summergreen subtropical swamp trees
- 19. Broadleaved evergreen meso-mediterranean trees
- 20. Broadleaved evergreen thermo-mediterranean trees
- 21. Broadleaved evergreen subtropical trees
- 22. Broadleaved summergreen boreal/temperate cold trees
- 23. Broadleaved summergreen temperate cool trees
- 24. Broadleaved summergreen temperate warm trees
- 25. Broadleaved raingreen tropical trees
- 26. Broadleaved evergreen tropical trees

PFT 01 : C3 herbs (« humid ») PFT 02 : C3 herbs (« dry ») PFT 03 : C4 herbs PFT 04 : Broadleaved summergreen arctic shrubs PFT 05 : Broadleaved summergreen boreal/temperate cold shrubs PFT 06 : Broadleaved summergreen temperate warm shrubs PFT 07 : Broadleaved evergreen boreal/temperate cold shrubs PFT 08 : Broadleaved evergreen temperate warm shrubs PFT 09 : Broadleaved evergreen xeric shrubs PFT 10 : Subdesertic shrubs PFT 11 : Tropical shrubs PFT 12 : Needleleaved evergreen boreal/temperate cold trees PFT 13 : Needleleaved evergreen temperate cool trees PFT 14 : Needleleaved evergreen supra-Mediterranean trees PFT 15 : Needleleaved evergreen meso-Mediterranean trees PFT 16 : Needleleaved evergreen subtropical trees PFT 17 : Needleleaved summergreen boreal/temperate cold trees PFT 18 : Needleleaved summergreen subtropical swamp trees PFT 19 : Broadleaved evergreen meso-Mediterranean trees PFT 20 : Broadleaved evergreen thermo-Mediterranean trees PFT 21 : Broadleaved evergreen subtropical trees PFT 22 : Broadleaved summergreen boreal/temperate cold trees PFT 23 : Broadleaved summergreen temperate cool trees

- $PFT \ 24: Broadleaved \ summergreen \ temperate \ warm \ trees$
- $PFT \ 25: Broadleaved \ raingreen \ tropical \ trees$
- PFT 26 : Broadleaved evergreen tropical trees

Poaceae Asteraceae all C4 herbaceous plants Alnus viridis, Betula nana, Salix nana, Arctostaphylos, A. alpinus, Hippophae rhamnoides Sambucus, Frangula alnus, Lonicera, Prunus, Rubus, Sorbus, Vaccinium, Viburnum Berberis vul., Crataegus, Euonymus europaeus, Genista, Rhamnus, R. catharticus Artostaphylos uva-ursi, Calluna vul., Daphne Buxus sempervirens, Hedera helix, Ilex aquifolium, Ligustrum vulgare, Viscum Cistus, Myrtus Nitraria Aegiceras corniculatum, Ceriops tagal, Kandelia obovata, Rhizophora mucronata, Cassine Cupressaceae, Juniperus, J. communis, Abies, A. alba, Picea abies, P. omorika, Pinus sylvestris Taxus, Chamaecyparis, Pseudotsuga menziesi, Sequoia sempervirens, Thuja orientalis, Tsuga diversifolia, Pinus nigra Cedrus Pinus halepensis, Pinus pinaster, Cupressus, Tetraclinis Sciadopitys, Cathaya, Keteleeria, Taiwania, Torreva, Athrotaxis Larix Taxodium, Glyptostrobus Ouercus ilex, Ouercus suber, Phillyrea, Arbutus, Ouercus troyana Olea europaea. Pistacia Alangium, Castanopsis, Fatsia japonica, Gordonia, Lindera, Neolitsea, Reevesia, Sassafras Alnus, Alnus glutinosa, Corvlus avellana, Quercus, Ouercus robur, Populus, Tilia, Betula, Salix Acer, A. campestre, Carpinus betulus, Fagus sylvatica, Tilia cordata, Tilia platyphyllos, Fraxinus excelsior, Ulmus, Aesculus rubicunda, Populus cathavana, Quercus castaneaefolia, Quercus lobata, Tilia iaponica. Ulmus davidiana Castanea, Juglans, Ostrya, Ouercus pubescens, O. benthamii, Craigia, Diospyros lotus, Halesia Adansonia, Bursera, Dendropanax, Gironniera, Bombax

Main taxa list used to define each PFT

Annona. Bombax, Bursera, Cupania, Monotes, Sterculia, Zenkerella

Plant Functional Types (PFTs)

- photosynthesis & growth calculated for all PFTs
- stress/germination parameters evaluated from present-day distribution
- competition between PFTs to fill gaps produced by natural or stress-induced mortality

European Classification (BAGs)

- 1. Achillea, Alchemilla, Angelica, Campanula
- 2. Brassicaceae, Caltha, Cardamine, etc
- 3. Anthemis, Artemisia, Bidens, Calystegia, etc
- 4. Asteraceae asteroideae, Poaceae, etc
- 5. Anemone, Gypsophila, Helleborus, etc
- 6. Ephedra, Ulex
- 7. Alnus vir, Arctostap., A.alpinus, B. nana
- 8. Sambucus, Frangula a, Prunus, Sorbus, Vaccinium
- 9. Berberis vul., Crataegus, Genista, Rhamnus
- 10. Artostaphylos uva-ursi, Calluna vul., Daphne
- 11. Buxus sempervirens, Hedera h., Ilex acquif.
- 12. Cistus, Myrtus
- 13. Betula, Salix
- 14. Alnus, A gl, Corylus, Q. robur, Populus, Tilia
- 15. Acer, Fraxinus, F excel, Tilia cordata, Ulmus
- 16. Acer campestre, Carpinus, Fagus syl, Tilia platyphyllos
- 17. Castanea, Juglans, Ostrya, Q. pubescens
- 18. Olea eur, Pistacia, Phillyrea, Q ilex, Q suber
- 19. Larix decidua
- 20. Picea abies, Pinus, Pinus sylvestris
- 21. Abies
- 22. Cupressaceae, Juniperus, Juniperus communis
- 23. Pinus Cembra
- 24. Abies Alba, Taxus
- 25. Cedrus, Pinus halepensis, Pinus pinaster

Overstorey

Trees

Global PFTs 12 – 26

Competition: Nⁱ_{seeds} = α pⁱ_{germ}.NPP_i (seeds fill gaps left by motality)

Understorey

Herbs

Global PFTs 1 – 3

Shrubs

Global PFTs 4 – 11

Competition: Nⁱ_{seeds} = a pⁱ_{germ}.NPP_i (seeds fill gaps left by motality)

COMPETITION OF PLANT FUNCTIONAL TYPES



Response to stress and mortality

Temperature (T) stress

- effects on growth through change in photosynthesis and autotrophic respiration
- mortality occurs at cold temperatures (T<Tdmin)

Soil water (SW) stress

- reduced growth through stomatal resistance
- leaf fall if evaporation is too large with respect to the amount of water that can be taken from the soil
- mortality occurs under critical soil water (SW<SWmin)
- fires can burn some part of the pixel at low SW

Temperature stress : Tdmin

Tdmin (°C) Broadleaved evergreen tropical trees **Broadleaved raingreen tropical trees** Broadleaved summergreen temperate warm trees Broadleaved summergreen temperate cool trees Broadleaved summergreen boreal/temp cold trees Broadleaved evergreen subtropical trees Broadleaved evergr thermo_mediterranean trees Broadleaved evergr meso mediterranean trees Needle-leaved summergr subtropical swamp trees Needle-leaved summergr boreal/temp cold trees Needle-leaved evergreen subtropical trees Needle-leaved evergr meso_mediterranean trees Needle-leaved evergr supra mediterranean trees Needle-leaved evergreen temperate cool trees Tdmin (°C) Needle-leaved evergreen boreal/temp cold trees **Tropical shrubs** Subdesertic shrubs Broadleaved evergreen xeric shrubs Broadleaved evergreen temperate warm shrubs Broadleaved evergreen boreal/temp cold shrubs Broadleaved summergreen temperate warm shrubs Broadleaved summergreen boreal/temp cold shrubs Broadleaved summergreen arctic shrubs C4 herbs C3 herbs ("dry") C3 herbs ("humid") -60 -50 -30 -20 10 20 -40 -10 0

Quantile: 1% (C3 grasses), 5% (other PFTs)

Soil water stress : SWmin



Quantile: 10% (all PFTs)

Germination

In the standard version of CARAIB (without seed dispersal), germination is assumed to occur for each PFT on any grid cell when the following criteria are met (full dispersion):

Temperature of the coldest month (T_c)
→ need of cold winter: T_c < T_{max,germ}

Growing degree days above 5°C (GDD5)

need of long enough vegetation season: GDD5>GDD5_{min}

Soil water of the driest month (SW_d)

→need of dry period: SW_d < SW_{max} (for Mediterranean BAGs)

Germination requirements : GDD5



Quantile: 1% (C3 grasses), 5% (other PFTs)

Germination requirements : Tmax_germ



Quantile: 10% (all PFTs)

Assemblage of plant types predicted for each grid cell



BIOMES



Main model inputs

Climatic data (monthly \rightarrow diurnal: stochastic generator)

- Air temperature (T_{min}, T_{max})
- Precipitation
- Sunshine hours (cloudiness) / Solar flux
- Air relative humidity
- Wind speed

Present-day: CRU climatology Past/Future: GCM anomalies combined with present-day climatology

Soil data

- texture (%sand, %silt, %clay)
- soil colour (dark to bright)
- $\Box \quad Elevation (\rightarrow average pressure)$

Main model PFT/species parameters

Climatic thresholds

- Stress conditions: Tmin, SWmin
- Germination requirements: GDD5min, Tmax_germ, SWmax_germ
- Phenology: Temp, SW for bud burst or leaf fall
- Aerodynamic and hydrological parameters
 - roughness length, displacement height (-> tree height)
 - root depth

- Photosynthesis and respiration
 - specific leaf area
 - leaf and wood C:N ratios
 - stomatal resistance parameters
 - Reservoir turnover times
 - leaf/wood turnover (background value, under T stress, under SW stress)
 - litter/soil organic C turnover

Standard model outputs

- Soil hydrology (monthly)
 - Reservoirs: soil water amount, snow depth
 - Fluxes: PET, AET, RUNOFF (surf, deep drainage), snow melt/evap
- Surface energy budget (monthly)
 - albedo

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- surface soil temperature
- latent and sensible heat exchanges
- solar radiation / downward IR / net radiation
- Vegetation (monthly)
 - GPP, NPP, NEP, LAI
- Vegetation (annual per plant type)
 - NPP, GPP, LAI
 - biomass, soil carbon
 - burned area, probability of fire
 - ¹³C discrimination

Examples of Results and Model Validation

Biome Distribution



Mean 1998-2005 annual Gross Primary Productivity (g C m⁻² yr⁻¹)

CARAIB





NPP (g C m^{-2} yr⁻¹) [Annual]



Soil Water (SW-WP)/(FC-WP) [Annual Mean]



Soil Water (SW-WP)/(FC-WP) [MAM]

Soil Water (SW-WP)/(FC-WP) [SON]





Sensible Heat Flux (W m⁻²) [Annual Mean]

Latent Heat Flux (W m⁻²) [Annual Mean]



DISTRIBUTION OF PLANT FUNCTIONAL TYPES

PFT Biomass (kg C m⁻²) [17 Needleleaved summergr boreal/temp cold trees] PFT Biomass (kg C m⁻²) [23 Broadleaved summergreen temperate cool trees]



PFT Biomass (kg C m⁻²) [21 Broadleaved evergr subtrop drought-int trees]



PFT Biomass (kg C m⁻²) [26 Broadleaved evergreen tropical trees

1





SIMULATIONS WITH LAND USE OVER BELGIUM (1 km²)

Net Ecosystem Productivity (g C m⁻² yr⁻¹)

Net Biome Productivity (g C m⁻² yr⁻¹)

> 50% non-vegetated (cities, rocks, water)
 Mixed pasture and crop fields
 Cropland
 Pastures
 Cold temperate/boreal forest
 Cold temperate mixed forest
 Temperate broadleaved decidnous forest
 Cold temperate/boreal open woodland
 Temperate grassland
 Strubland

SMIP2a intercomparison

Figure 4. Global relationship between sun-induced chlorophyll fluorescence (SIF) from GOME-2 and gross primary production (GPP) simulated by the eight biome models (*a*–*h*), during the period 2007–2010 using the GSWP3 forcing dataset. Black lines show linear regression (R^2 in parentheses). Both SIF and GPP data were aggregated into 5°-mesh to reduce the influence of observational noise; bars show the standard deviation within the 5°-grids. Red dots show tropical areas (25°N–25°S), and blue dots show temperate and borealareas.

Ito et al. (2017)

R+L = interannual variability in global Residual Land Sink (RLS) plus land use change emissions (ELUC) (R + L; Le Quéré et al 2015). Dashed line indicates the trend derived from R + L (Le Quéré et al 2015).

2003 Summer drought in Europe: Models vs MODIS

Gross Primary Productivity summer (JJA) anomaly (reference period 2001-2010) Princeton PGFv2 climatic data, « natural » simulations

MODIS

2003 Summer drought in Europe: Model ensemble

100

50

30

GPP (g C m^{-2} mo⁻¹) [JJA] MODEL ENSEMBLE PGFv2 NAT 2003

GPP Standard Deviation (g C m⁻² mo⁻¹) [JJA] MODEL ENSEMBLE PGFv2 NAT 2003

Anomalies of GPP (g C m⁻² mo⁻¹) [JJA]

2010 Summer drought in Europe: Models vs MODIS

Gross Primary Productivity summer (JJA) anomaly (reference period 2001-2010) Princeton PGFv2 climatic data, « natural » simulations

2010 Summer drought in Europe: Model ensemble

50

40

30

20

10

MODEL ENSEMBLE PGFv2 NAT 2010 MODEL ENSEMBLE PGFv2 NAT 2010 -20 -20

GPP (g C m^{-2} mo⁻¹) [JJA]

GPP Standard Deviation (g C m⁻² mo⁻¹) [JJA]

Anomalies of GPP (g C m⁻² mo⁻¹) [JJA] MODEL ENSEMBLE PGFv2 NAT 2010

Site Level Simulations (GPP)

- Vielsalm, Belgium (CarboEuroflux site, 50°N, 6°E) mixed forest: Fagus sylvatica, Pseudotsuga menziesii
 - simulations with CRU monthly climatic data at 0.5°x0.5° (1997-2006)
 - model vegetation : BAG 16 + BAG 20 (European classification)
 - no specific adaptation of soil parameters to the site

Site Level Simulations

Simulations at European Scale (NPP)

- simulations with CRU monthly climatic data at 0.5°x0.5° (2000-2006)

- grid cels with > 30 % (semi-)natural vegetation (mostly forests)

comparison with MODIS NPP for the same period over

Dury et al. (2011)

Species-based simulations (Europe)

➔ simulations performed with species rather than BAGs or PFTs

- ➔ common European species representative of each BAG
 - 47 herbaceous species
 - 12 shrub species

40 trees

Interannual Variability

Interannual variability of climate and NPP (Models of ENSEMBLES project) 1961-1990

Winter temperature standard deviation

Summer precipitation standard deviation

CARAIB

Annual NPP standard deviation

Dury et al., in prep.

Model performance to reproduce the 1961-1990 interannual variability (Taylor diagram / reference = CRU)

ARPEGE/Climate DMI-HIRHAM5 HC-HadRM3Q0 KNMI-RACMO2

Reference CRU

- Winter temperature
- ▲ Summer precipitations
- Net primary productivity

Future Impacts on Ecosystems

Future interannual variability of NPP

Annual NPP standard deviation

2071-2100 (A1B)

1961-1990

Dury et al., in prep.

Species distribution

Percentages of species disappearance and potential appearance of new species between 1961-1990 and 2071-2100 (KNMI-RACMO2 with AIB SRES)

HERB and SHRUB SPECIES

TREE SPECIES

> 100

80 to 100

60 to 80

40 to 60

20 to 40

0 to 20

%

> 100

80 to 100

60 to 80

40 to 60

20 to 40

0 to 20

Natural fires

2071-2100

Risk index for severe perturbation of ecosytems

-includes: runoff, NPP, fires, soil turnover, species disappearance, new species

With CO2 fertilization

Without CO2 fertilization

(KNMI-RACMO2 with A1B SRES)

Integrating Crops and Ecosystem Services (VOTES)

The main land uses in the four municipalities included in the Case Study Area for the VOTES project

1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 Time (Year)

B-CGMS (sandy/silty soil) — B-CGMS (silty soil) — FAO ----- CARAIB

37

35

Development of a crop sub-model

Variation of yields between 1997 and 2008 calculated by CARAIB for two crops (winter wheat and potatoes) growing in the VOTES case study area and compared to estimates from FAO and B-CGMS

Valuating Ecosystem Services

Runoff (mm/mo) [Maximum]

Soil Carbon (kg C m⁻²)

Simulation at plot scale

18

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21

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23

24

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SALUS

Sirius 2010

SiriusOuality 2.0

SPACSYS 5.0

WOFOST 7.1

WOFOST 7.1

STICS V6.9

SIMPLACE<Lintul2, Slim>

Correlation coefficients (r) for simulated versus observed yields over periods of N years during 1981-2010. Sites with spring (S) and winter (W) wheat are simulated in Germany (DE), Finland (FI) and Spain (ES) by 26 different models. E and E50 are the model ensemble mean and median, respectively. Colours in cells denote the magnitude of r.

Conclusions and Perspectives Towards an integrated tool for upscaling

CARAIB is a dynamic vegetation model able to represent ecosystem heterogeneity at **different scales**. It includes both **natural and crop ecosystems**. It could be used in data assimilation mode, as an **upscaling tool**, towards **monitoring of ecosystems and ecosystem services**.

